

|  |                               |                      |
|--|-------------------------------|----------------------|
| <b>DECLARATION FOR UTILITY OR<br/>DESIGN<br/>PATENT APPLICATION<br/>(37 CFR 1.63)</b>  | <b>Attorney Docket Number</b> | F-281                |
|  | <b>First Named Inventor</b>   | Errico;              |
|  | <b>COMPLETE IF KNOWN</b>      |                      |
|  | <b>Application Number</b>     | /                    |
|  | <b>Filing Date</b>            | 4/29/2003            |
|  | <b>Group Art Unit</b>         |                      |
| <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) |                               | <b>Examiner Name</b> |

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Wedge Plate Inserter/ Impactor and Related Methods for use in Implanting an Artificial Intervertebral Disc

*(Title of the invention)*

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

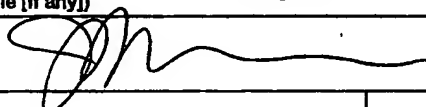
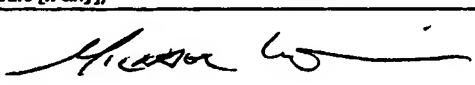
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                          |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
|                                     |         |                                  |                          | YES                      | NO                       |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

**DECLARATION — Utility or Design Patent Application**

|   |           |   |                |
|---|-----------|---|----------------|
| Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number or Bar Code Label <span style="background-color: black; color: black;">XXXXXXXXXX</span>  |           | OR <input type="checkbox"/> Correspondence address below                      |                |
| Name  |           |   |                |
| Address   |           |   |                |
| City  |           | State   | ZIP            |
| Country   | Telephone |   | Fax            |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. |           |   |                |
| NAME OF SOLE OR FIRST INVENTOR :  |           | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                |
| Given Name (first and middle [if any]) Joseph P.  |           | Family Name or Surname Errico;  |                |
| Inventor's Signature    |           | Date 4/29/03  |                |
| Residence: City Green Brook,  | State NJ  | Country US  | Citizenship US |
| Mailing Address 29 Deer Path Circle   |           |   |                |
| City Green Brook,   | State NJ  | ZIP 08812   | Country US     |
| NAME OF SECOND INVENTOR:  |           | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                |
| Given Name (first and middle [if any]) Michael W.   |           | Family Name or Surname Dudasik;   |                |
| Inventor's Signature   |           | Date 4/29/03  |                |
| Residence: City Nutley,   | State NJ  | Country US  | Citizenship US |
| Mailing Address 29 Daily Street   |           |   |                |
| City Nutley,  | State NJ  | ZIP 07110   | Country US     |
| <input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.   |           |   |                |

Please type a plus sign (+) inside this box → ☐

F-281

PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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|                    |   |
|--------------------|---|
| <b>DECLARATION</b> | <b>ADDITIONAL INVENTOR(S)</b><br><b>Supplemental Sheet</b><br>Page <u>1</u> of <u>1</u> |
|--------------------|---|

|  |               |   |       |
|--|---------------|---|-------|
| Name of Additional Joint Inventor, if any: |               | <input type="checkbox"/> A petition has been filed for this unsigned inventor |       |
| Given Name (first and middle (if any))     |               | Family Name or Surname  |       |
| Rafail                                     |               | Zubok   |       |
| Inventor's Signature                       |               | Date <u>7/29/03</u>   |       |
| Residence: City                            | Midland Park, | State   | NJ    |
|  |               | Country   | US    |
| Citizenship                                |               | US  |       |
| Mailing Address 222 Spruce Street          |               |   |       |
| Mailing Address                            |               |   |       |
| City                                       | Midland Park, | State   | NJ    |
|  |               | ZIP   | 07432 |
|  |               | Country   | US    |
| Name of Additional Joint Inventor, if any: |               | <input type="checkbox"/> A petition has been filed for this unsigned inventor |       |
| Given Name (first and middle (if any))     |               | Family Name or Surname  |       |
|  |               |   |       |
| Inventor's Signature                       |               | Date  |       |
| Residence: City                            |               | State   |       |
|  |               | Country   |       |
| Citizenship                                |               |   |       |
| Mailing Address                            |               |   |       |
| Mailing Address                            |               |   |       |
| City                                       |               | State   |       |
|  |               | ZIP   |       |
|  |               | Country   |       |
| Name of Additional Joint Inventor, if any: |               | <input type="checkbox"/> A petition has been filed for this unsigned inventor |       |
| Given Name (first and middle (if any))     |               | Family Name or Surname  |       |
|  |               |   |       |
| Inventor's Signature                       |               | Date  |       |
| Residence: City                            |               | State   |       |
|  |               | Country   |       |
| Citizenship                                |               |   |       |
| Mailing Address                            |               |   |       |
| Mailing Address                            |               |   |       |
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PTO/SB/81 (02-01)

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

|                        |   |
|------------------------|---|
| Application Number     |   |
| Filing Date            | 2/23/2004   |
| First Named Inventor   | Errico;   |
| Title                  | Wedge Plate Inserter/Impactor and Related Methods for use in Implanting an Artificial Intervertebral Disc |
| Group Art Unit         |   |
| Examiner Name          |   |
| Attorney Docket Number | F-310   |

I hereby appoint:

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36402

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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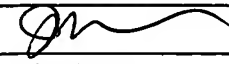
Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

|           |   |
|-----------|---|
| Name      | Joseph P. Errico, CEO, SpineCore, Inc.  |
| Signature |  |
| Date      | 2/23/2004   |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Joseph P. Errico; Michael W. Dudasik; Rafail ZubokApplication No./Patent No.: \_\_\_\_\_ Filed/Issue Date: 2/23/2004Entitled: Wedge Plate Inserter/Impactor and Related Methods for use in Implanting an Artificial Intervertebral DiscSpineCore, Inc., a Delaware corporation,

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014019, Frame 0017, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

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Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

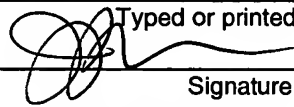
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/23/2004

Date

Joseph P. Errico,

Typed or printed name



Signature

CEO, SpineCore, Inc.

Title